TA-W2111-9 (01/2006)



New York State Thruway Authority • New York State Canal Corporation P.O. Box 189 Albany, New York 12201-0189



CERTIFICATE OF COMPLIANCE WITH THE AUTHORITY/CORPORATION GUIDELINES REGARDING PERMISSIBLE CONTACTS DURING A PROCUREMENT AND THE PROHIBITION OF INAPPROPRIATE LOBBYING INFLUENCE

To protect the integrity and fairness of the procurement process and maintain public confidence in the Thruway Authority/Canal Corporation's (Authority/Corporation) stewardship role, all Authority/Corporation procurement decisions must be based on the merits of proposals, free of any inappropriate lobbying influence. Toward that end, the Authority/Corporation has adopted Guidelines Regarding Permissible Contacts During a Procurement and the Prohibition of Inappropriate Lobbying Influence (TAP-335), Guidelines with which all vendors/firms/proposers must comply. A copy of these Guidelines is available in the Business section of the Authority's website at www.thruway.state.ny.us or in the Business and Development section of the Corporation's website at www.canals.state.ny.us. Further, Authority/Corporation funds may not be used to reimburse a vendor/firm for its outside lobbying expenses. Authority/Corporation payments made under a contract cannot be used to pay outside lobbying costs and a vendor/firm is prohibited from seeking reimbursement of such costs.

Certification

The undersigned certifies that the vendor/firm/proposer has read, understands and agrees to comply with the Authority/Corporation Guidelines Regarding Permissible Contacts During a Procurement and the Prohibition of Inappropriate Lobbying Influence. Further, the undersigned certifies that the vendor/firm/proposer will not utilize Authority/Corporation payments made under a contract to pay outside lobbying expenses and will not seek reimbursement of such costs. The undersigned also certifies that he or she is authorized to bind the vendor/firm/proposer contractually.

Contract #	Description
Vendor/Firm Name	Telephone #
Print Name	Title
Signature	Date