

***IP 606 -- Chinese Nonproliferation and Security Policy***  
**The Graduate School of International Policy Studies**  
**Monterey Institute of International Studies**

**Spring 2007**

**Monday 12:00 – 1:50 P.M.**

**Room: M127**

**Instructor: Dr. Jing-dong Yuan**

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**411 Pacific Street, Room 200A**

**Monday 10:00-11:00 A.M. or by appointment**

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**I. Course Description:**

China's rise to regional and global prominence has attracted growing attention in recent years. Scholars as well as policymakers debate and assess the implications of rising Chinese power for regional security and the international system. This seminar introduces students to Chinese foreign policy, arms control, nonproliferation, and security issues. It begins with a brief history of phases in Chinese foreign and security policy and then gives an overview of major theoretical approaches to the subject. These theoretical perspectives are useful in examining a wide range of policy issues, including the relationship between ballistic missile defense and Chinese strategic modernization, the evolution of Chinese arms control policy, the sources of China's nonproliferation behavior, security trends in the Taiwan Strait, civil-military relations, the Chinese foreign policy process, and the domestic sources of Chinese foreign and security policy. The course is taught as a seminar, with students expected to write a book review, a research design and bibliography, and a final research paper. Students will be required to do assigned reading, participate actively in class discussions, make oral presentations of their book review and research paper, and serve as a discussant for one of their classmates' papers. Students who write a research paper on a topic related to nonproliferation will receive credit towards the certificate in nonproliferation studies.

**II. Course Objectives:**

The course will introduce students to the literature on Chinese foreign and security policy, survey the history of the subject, and focus on the value of different theoretical approaches in explaining and predicting Chinese international behavior. Students will learn about Chinese perspectives on international relations, security, arms control, and nonproliferation. This will include efforts to understand how Chinese culture and history influence Chinese policies, negotiating strategies, and thinking about foreign policy and security issues. Students will develop the ability to use different international relations theories to examine/explain Chinese foreign policy decisions and outcomes. Course assignments will improve student analytical and presentation skills via book reviews that critique important books on the subject, oral presentations, and a research paper. Students will be encouraged to use Chinese language sources (if they have the appropriate

language ability).

### **III. Assessment:**

Course assignments will include a book report, class participation, a research outline and a final research paper. Students will be required to write two papers during the course. The first paper will be a 3-page, double-spaced book review, which **is due on Monday, March 5**. Each book review should briefly (2-3 paragraphs) summarize the author(s)' main argument(s) and critically evaluate its strengths and weaknesses in explaining Chinese foreign and security policy from theoretical and/or policy perspectives. The second paper will be a 20 page, double-spaced research paper, with an abstract of 150 words. The research outline **is due on Monday, April 9**. The last four classes will be reserved for student presentations. The final paper is **due on Friday, May 11**. Students are expected to have completed the readings before class and to actively participate in class discussions.

Grades will be determined as follows:

- Book Review: 15 percent;
- Research Design: 10 percent;
- Research Paper: 50 percent;
- Class Participation: 25 percent.

### **IV. Required Textbook:**

Alastair Iain Johnston and Robert S. Ross, eds., *New Directions in the Study of China's Foreign Policy* (Stanford: Stanford University Press, 2006).

## **COURSE OUTLINE**

### **Monday, January 22: Introduction/Approaches to Chinese Foreign and Security**

#### **Required Readings:**

- Samuel S. Kim, "Chinese Foreign Policy in Theory and Practice," in Samuel S. Kim, ed., *China and the World*, 4th edition (Boulder, Col.: Westview Press, 1998), pp. 3-33.
- Allen Whiting, "IR Theory vs. the Fortune Cookie," in Thomas W. Robinson and David Shambaugh, eds., *Chinese Foreign Policy: Theory and Practice* (New York: Clarendon Press, 1995), pp. 506-523.
- Wang Jisi, "International Relations Theory and the Study of Chinese Foreign Policy: A Chinese Perspective," in Robinson and Shambaugh, eds., *Chinese Foreign Policy*, pp. 481-505.
- Alastair Iain Johnston, "Trends in Theory and Method in the Study of Chinese Foreign Policy," February 2006 (mimeo)

### **Monday, January 29: Realism**

#### **Required Readings:**

- Alastair Iain Johnston, “International Structures and Chinese Foreign Policy,” in *China and the World*, pp. 55-87.
- Robert S. Ross, “Comparative Deterrence: The Taiwan Strait and the Korean Peninsula,” in *New Directions*, pp. 13-49.
- Thomas J. Christensen, “Windows and War: Trend Analysis and Beijing’s Use of Force,” in *New Directions*, pp. 50-85.

### **Monday, February 5: Liberal Institutionalism/Globalization**

#### **Required Readings:**

- Allen Carlson, “More Than Just Saying No: China’s Evolving Approach to Sovereignty and Intervention,” in *New Directions*, pp. 217-241.
- Margaret M. Pearson, “China in Geneva: Lessons from China’s Early Years in the World Trade Organization,” in *New Directions*, pp. 242-275.
- Samuel S. Kim, “Chinese Foreign Policy Faces Globalization Challenges,” in *New Directions*, pp. 276-306.

### **Monday, February 12: Domestic Politics and Foreign Policy Processes**

#### **Required Readings**

- Deng Yong, “Reputation and the Security Dilemma: China Reacts to the China Threat Theory,” in *New Directions*, pp. 186-214.
- Peter Hays Gries, “Identity and Conflict in Sino-American Relations,” in *New Directions*, pp. 309-339.
- Alastair Iain Johnston, “The Correlates of Beijing Public Opinion Toward the United States, 1998-2004,” in *New Directions*, pp. 340-377.
- Fei-ling Wang, “Preservation, Prosperity, and Power: What Motivates China’s Foreign Policy?” *Journal of Contemporary China* 14:45 (November 2005), pp. 669-694.

### **Monday, February 19: China and the United States: Partners or Rivals?**

#### **Required Readings:**

- Aaron L. Friedberg, “The Future of U.S.-China Relations: Is Conflict Inevitable?” *International Security* 30:2 (October 2005), pp. 7-45.
- Wang Jisi, “China’s Search for Stability with America,” *Foreign Affairs* 84:5 (September/October 2005), pp. 39-48.
- Evan S. Medeiros, “Strategic Hedging and the Future of Asia-Pacific Stability,” *The Washington Quarterly* 29:1 (Winter 2005-06), pp. 145-167.

### **Monday, February 26: China, India, and Japan: Can the Asian Giants Co-exist?**

#### **Required Readings:**

- Michael Yahuda, “The Limits of Economic Interdependence: Sino-Japanese Relations,” in *New Directions*, pp. 131-161.
- Yang Bojiang, “Redefining Sino-Japanese Relations after Koizumi,” *The Washington Quarterly* 29:4 (Autumn 2006), pp. 129-137.
- Zhang Guihong, “Sino-Indian Security Relations: Bilateral Issues, External Factors and

Regional Implications,” *South Asian Survey* 12:1 (2005), pp. 61-74.

### **Monday, March 5: China, Russia, and the Shanghai Cooperation Organization (Book Reviews Due)**

#### **Required Readings:**

- Yu Bin, “China and Russia: Normalizing Their Strategic Partnership,” in David Shambaugh, ed., *Power Shift: China and Asia’s New Dynamics* (Berkeley: University of California Press, 2005), pp. 228-244.
- Paradorn Rangsimaporn, “Russia’s Debate on Military-Technological Cooperation with China: From Yeltsin to Putin,” *Asian Survey* 46:3 (May/June 2006), pp. 477-495.
- Chien-peng Chung, “The Shanghai Co-operation Organization: China’s Changing Influence in Central Asia,” *The China Quarterly* 180 (December 2004), pp. 989-1009.

### **Monday, March 12: Spring Break—No Class**

### **Monday, March 19: China and the Korean Peninsula**

#### **Required Readings:**

- Avery Goldstein, “Across the Yalu: China’s Interests and the Korean Peninsula in a Changing World,” *New Directions*, pp. 131-161.
- Samuel S. Kim, “The Making of China’s Korea Policy in the Era of Reform,” in David M. Lampton, ed., *The Making of Chinese Foreign and Security Policy in the Era of Reform, 1978-2000* (Stanford, Calif.: Stanford University Press, 2001), pp. 371-408.
- Andrew Scobell, *China and North Korea: From Comrades-In-Arms to Allies at Arm’s Length* (Carlisle, PA: Strategic Studies Institute United States Army War College, April 2004). <http://www.strategicstudiesinstitute.army.mil/pdf/PUB373.pdf>

### **Monday, March 26: Chinese Arms Control and Nonproliferation: Perspectives and Participation**

#### **Required Readings:**

- Chinese White Paper on Arms Control and Nonproliferation, September 2005. [http://www.chinadaily.com.cn/english/doc/2005-09/01/content\\_474248.htm](http://www.chinadaily.com.cn/english/doc/2005-09/01/content_474248.htm)
- Michael D. Swaine and Alastair Iain Johnston, “China and Arms Control,” in Elizabeth Economy and Michel Oksenberg, eds., *China Joins the World: Progress and Prospects* (New York: Council on Foreign Relations Press, 1999), pp. 90-135.
- Bates Gill, “Two Steps Forward, One Step Back: The Dynamics of Chinese Nonproliferation and Arms Control Policy-Making in an Era of Reform,” in Lampton, ed., *Making of Chinese Foreign and Security Policy*, pp. 257-288.

### **Monday, April 2: Chinese Nonproliferation: Principles and Policies**

#### **Required Readings:**

- Evan S. Medeiros, *Chasing the Dragon: Assessing China’s System of Export Controls for WMD-Related Goods and Technologies*. (Santa Monica, CA: RAND Corporation, 2005). [http://www.rand.org/pubs/monographs/2005/RAND\\_MG353.pdf](http://www.rand.org/pubs/monographs/2005/RAND_MG353.pdf)

- Anupam Srivastava, “China’s Export Controls: Can Beijing’s Actions Match Its Words?” *Arms Control Today* 35:9 (November 2005), pp. 20-25.
- Shirley A. Kan, *China and Proliferation of Weapons of Mass Destruction and Missiles: Policy Issues*. CRS Report for Congress, November 2006.  
<http://fpc.state.gov/documents/organization/76878.pdf>

**Monday, April 9: Chinese Nonproliferation Policies: Nuclear Terrorism, Iran and North Korea (Research Outlines Due)**

**Required Readings:**

- Zou Yunhua, “Preventing Nuclear Terrorism: A View from China,” *The Nonproliferation Review* 13:2 (July 2006), pp. 253-273.
- Xiaohui (Anne) Wu, “China and the U.S. beyond the Korean Peninsula: The Bigger Power Game,” *The Nonproliferation Review* 13:2 (July 2006), pp. 317-338.
- John Calabrese, “China and Iran: Partners Perfectly Mismatched,” Middle East Institute, August 2006 (mimeo).
- John W. Garver, *China and Iran: Ancient Partners in a Post-Imperial World* (Seattle, WA: University of Washington Press, 2006), chapter 8.

**Monday, April 16: Student Presentations**

**Monday, April 23: Student Presentations**

**Monday, April 30: Student Presentations**

**Monday, May 7: Student Presentations. Class Ends.**

**Friday, May 11: Final Paper Due**